

# Adjuvant endocrine therapy for patients with non-invasive breast cancer

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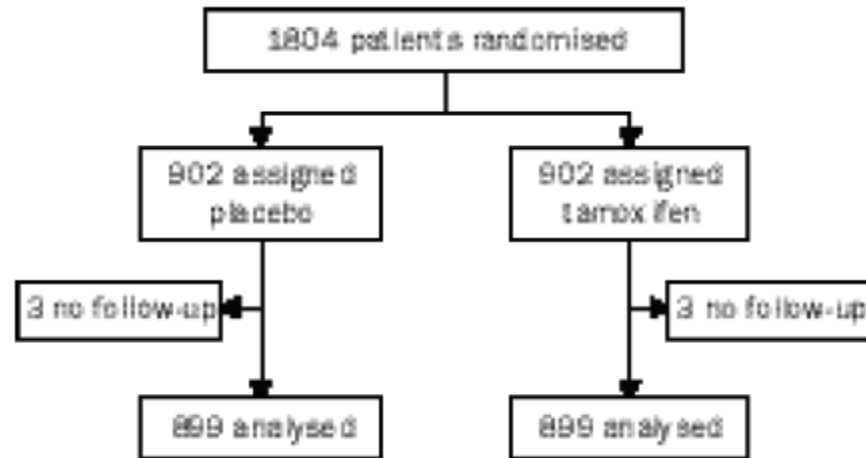
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# Studies on adjuvant ET in DCIS:

- **NSABP B-24 (1991-1994)**: What is the benefit of tamoxifen in addition to breast conservation and RT in patients with DCIS?
- **UK/ANZ DCIS trial (1990-1998)**: What is the benefit of tamoxifen in patients with DCIS after radical breast conservation?
- **NSABP B-35 (2003-2006)**: Is anastrozole better and more safe than tamoxifen to prevent BC events in postmenopausal women with ER pos DCIS?
- **IBIS II trial (2003-2012)**: Is anastrozole better than tamoxifen to prevent BC events in postmenopausal women with ER pos DCIS?
- **TAM-01 trial (2008-2015)** : Is there a benefit of low dose tamoxifen in preventing recurrence after breast intraepithelial neoplasia?

# NSABP-B24 (1991-1994)



- DCIS or mixed DCIS and LCIS
- breast conservation
- doubled-blinded, placebo controlled
- tamoxifen 10 mg \* 2/day
- positive margin in 25%
- all patients: RT 50 Gy/25 fx
- N=1804
- Median follow-up: 74 months

# NSABP-B24

Type of first event	Placebo group (n=899)			Tamoxifen group (n=899)			Rate ratio (95% CI) †	p
	Number of events	Cumulative incidence at 5 years (%)	Rate*	Number of events	Cumulative incidence at 5 years (%)	Rate*		
<b>Breast cancer and non-breast cancer</b>	169	16.7	38.12	126	12.6	27.50	0.72 (0.57–0.91)	0.006
<b>All breast cancer</b>	130	13.4	29.32	84	8.2	18.33	0.63 (0.47–0.83)	0.0009
Total	130	13.4	29.32	84	8.2	18.33	0.63 (0.47–0.83)	0.0009
Invasive‡	70	7.2	15.79	41	4.1	8.95	0.57 (0.38–0.85)	0.004
Non-invasive§	60	6.2	13.53	43	4.2	9.39	0.69 (0.46–1.04)	0.08
<b>Ipsilateral-breast cancer</b>								
Total	87	..	19.62	63	..	13.75	0.70 (0.50–0.98)	0.04
Invasive	40	4.2	9.02	23	2.1	5.02	0.56 (0.32–0.95)	0.03
Non-invasive	47	5.1	10.60	40	3.9	8.73	0.82 (0.53–1.28)	0.43
<b>Contralateral-breast cancer</b>								
Total	36	..	8.12	18	..	3.93	0.48 (0.26–0.87)	0.01
Invasive	23	2.3	5.19	15	1.8	3.27	0.63 (0.31–1.26)	0.22
Non-invasive	13	1.1	2.93	3	0.2	0.66	0.22 (0.04–0.81)	0.02
<b>Breast cancer at regional or distant sites</b>	7	..	1.58	3	..	0.66	0.42 (0.07–1.82)	0.32
<b>Non-breast cancer</b>								
Total	39	3.3	8.80	42	4.4	9.17	1.04 (0.66–1.65)	0.94
Second primary cancers other than endometrial cancer	26	..	5.86	25	..	5.46	0.93 (0.52–1.68)	0.91
Endometrial cancer	2	..	0.45	7	..	1.53	3.39 (0.64–33.42)	0.20
Deaths, no evidence of disease	11	..	2.48	10	..	2.18	0.88 (0.33–2.28)	0.94

37% reduction of all BC events (70% ipsilateral)

44% reduction of ipsilateral invasive BC

52% reduction of contralateral BC events

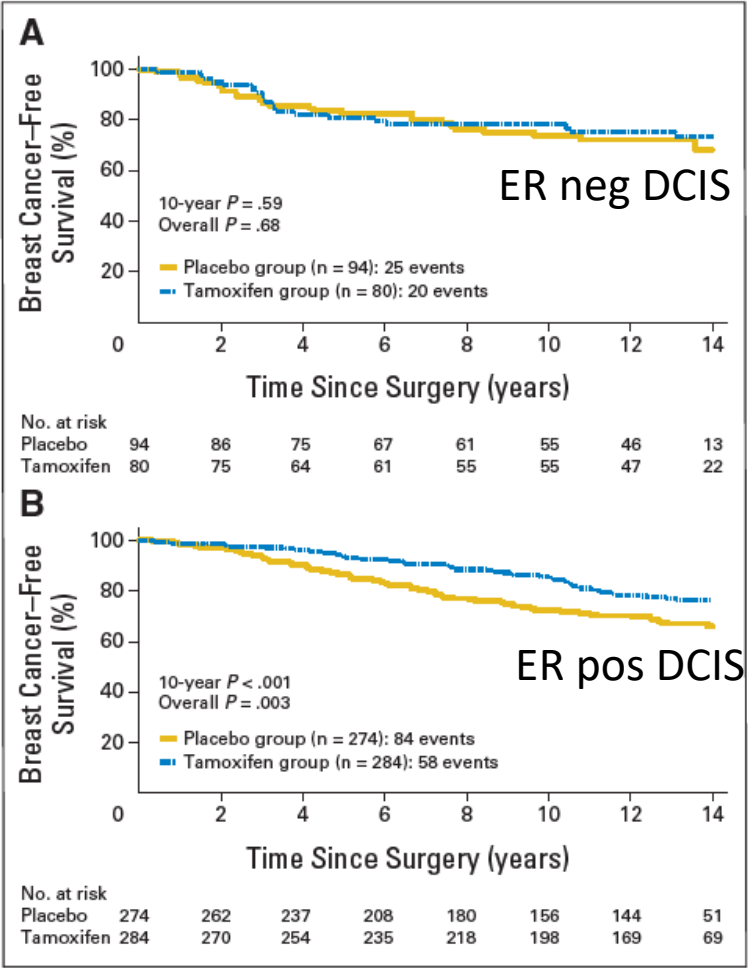
\*Rate per 1000 patients per year.

†Rate in tamoxifen group divided by rate in placebo group.

‡Includes ipsilateral-breast cancer, contralateral-breast cancer, and local, regional, and distant disease.

§Includes ipsilateral and contralateral non-invasive tumours.

# NSABP-B24 (patients with known ER status of DCIS)

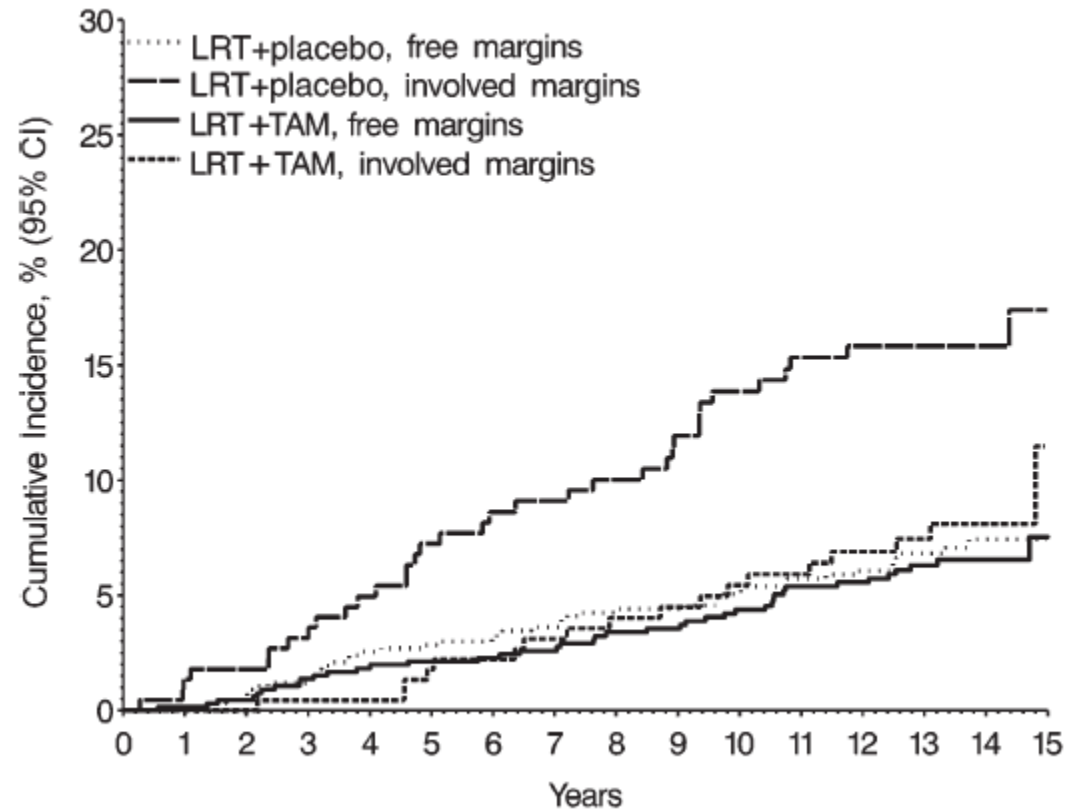


**Fig 3.** Kaplan-Meier curves showing probability of any subsequent breast cancer in patients with (A) estrogen receptor (ER) -negative and (B) ER-positive ductal carcinoma in situ (DCIS) treated with adjuvant placebo versus tamoxifen. Tamoxifen benefit (42% reduction in relative risk;  $P = .001$ ) was restricted to ER-positive DCIS.

Type of BC	Placebo (n = 368)		Tamoxifen (n = 364)		HR*	95% CI	Pt	
<b>ER positive</b>								
Any								42% reduction of all BC events
BC	84	31	58	20	0.58	0.415 to 0.81	<b>.0015</b>	
IBC	52	19	33	12	0.53	0.34 to 0.82	.005	
DCIS	32	12	25	9	0.66	0.39 to 1.12	.12	
<b>Ipsilateral</b>								
BC	47	17	39	14	0.68	0.44 to 1.03	<b>.07</b>	32% reduction of all ipsilateral BC events
IBC	26	9	20	7	0.61	0.34 to 1.09	.10	
DCIS	21	8	19	7	0.76	0.41 to 1.42	.39	
<b>Contralateral</b>								
BC	32	11	18	6	0.50	0.28 to 0.88	<b>.02</b>	50% reduction of all contralateral BC events
IBC	21	8	12	4	0.51	0.25 to 1.03	.06	
DCIS	11	4	6	2	0.47	0.17 to 1.27	.14	
<b>ER negative</b>								
Any								
BC	25	27	20	25	0.88	0.49 to 1.59	.68	
IBC	14	15	9	11	0.69	0.30 to 1.59	.38	
DCIS	11	12	11	14	1.15	0.50 to 2.65	.75	
<b>Ipsilateral</b>								
BC	16	17	17	21	1.18	0.60 to 2.34	.63	
IBC	6	6	7	9	1.24	0.42 to 3.70	.70	
DCIS	10	11	10	13	1.15	0.48 to 2.75	.76	
<b>Contralateral</b>								
BC	7	7	3	4	<b>0.46</b>	0.12 to 1.80	.35	non significant reduction of contralateral BC events (prevention)
IBC	6	6	2	3	0.36	0.07 to 1.77	.29	
DCIS	1	1	1	1	1.15	0.07 to 18.44	1.00	

# NSABP-B24 (outcome based on margin)

Invasive ipsilateral breast tumor recurrence

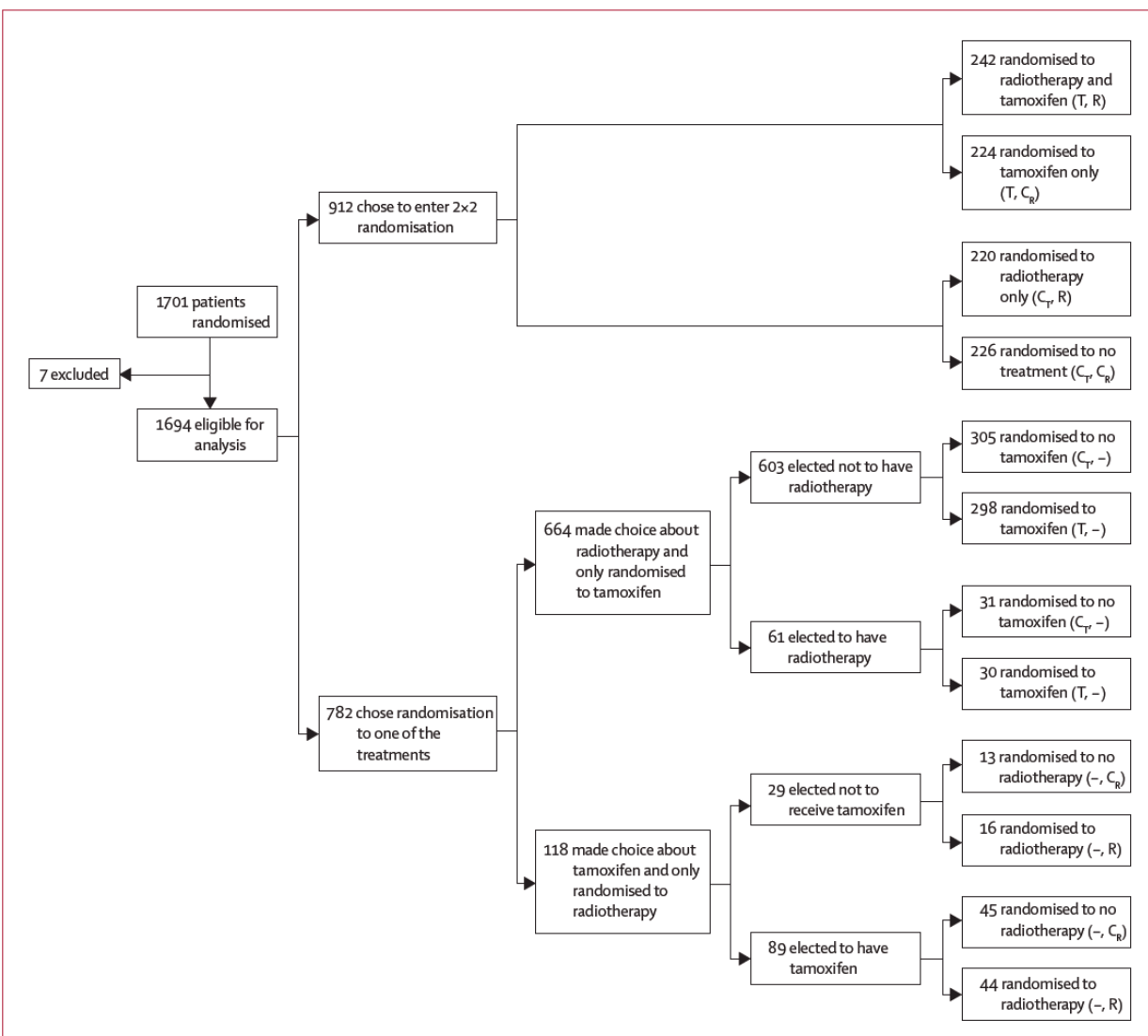


***"The benefit of tamoxifen on ipsilateral breast tumor recurrence was in patients with involved margins"***

BCS + RT, placebo, involved margin

BCS + RT, tamoxifen, involved margin

# UK/ANZ DCIS trial (1990-1998)



- patients with DCIS suitable for breast conservation
- radical surgery
- ER status unknown
- randomized to 4 groups: RT, TAM, both or no adjuvant treatment
- N=1701 patients
- median follow-up: 12,7 years

# UK/ANZ DCIS trial

	No adjuvant treatment (n=544)	Tamoxifen alone (n=567)	Radiotherapy alone (n=267)	Radiotherapy and tamoxifen (n=316)	Total (n=1694)
Follow-up (woman-years)	5428	6017	3023	3545	18 013
Breast events	174 (32%)	135 (24%)	35 (13%)	32 (10%)	376 (22%)
DCIS	96 (18%)	72 (13%)	16 (6%)	13 (4%)	197 (12%)
Ipsilateral	86 (16%)	63 (11%)	14 (5%)	11 (3%)	174 (10%)
Contralateral	9 (2%)	4 (1%)	2 (1%)	2 (1%)	17 (1%)
Unknown	1	5	0	0	6
Invasive	72 (13%)	57 (10%)	16 (6%)	18 (6%)	163 (10%)
Ipsilateral	52 (10%)	49 (9%)	10 (4%)	11 (3%)	122 (7%)
Contralateral	20 (4%)	7 (1%)	5 (2%)	7 (2%)	39 (2%)
Unknown	0	1	1	0	2
Unknown	6 (1%)	6 (1%)	3 (1%)	1 (0%)	16 (1%)
Annual rate of breast events (%)	3.2%	2.2%	1.2%	0.9%	2.1%

Data are number (%). DCIS=ductal carcinoma in situ.

**Table 1: New breast events**



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**Table 1: New breast events**

# UK/ANZ DCIS trial

Comparable to  
NSABP B-24

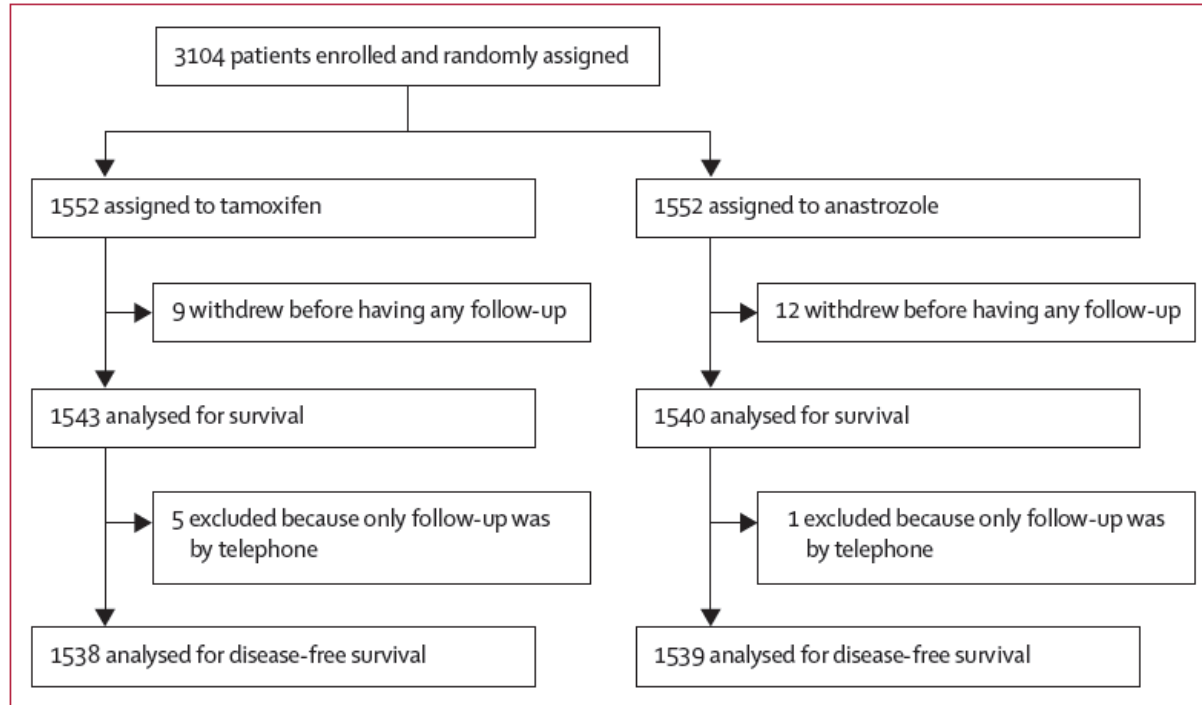


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Annual rate of breast events (%)	3.2%	2.2%	1.2%	0.9%	2.1%

Data are number (%). DCIS=ductal carcinoma in situ.

**Table 1: New breast events**

# NSABP B-35 (2003-2006)



- postmenopausal women with ER pos DCIS or mixed DCIS and LCIS
- breast conservation
- clear margins and negative nodes
- all patients: RT 50 Gy in 25 fx
- standard doses of tamoxifen and anastrozole for 5 years
- comparable side-effects
- N=3104
- median follow-up: 9 years

# NSABP B-35

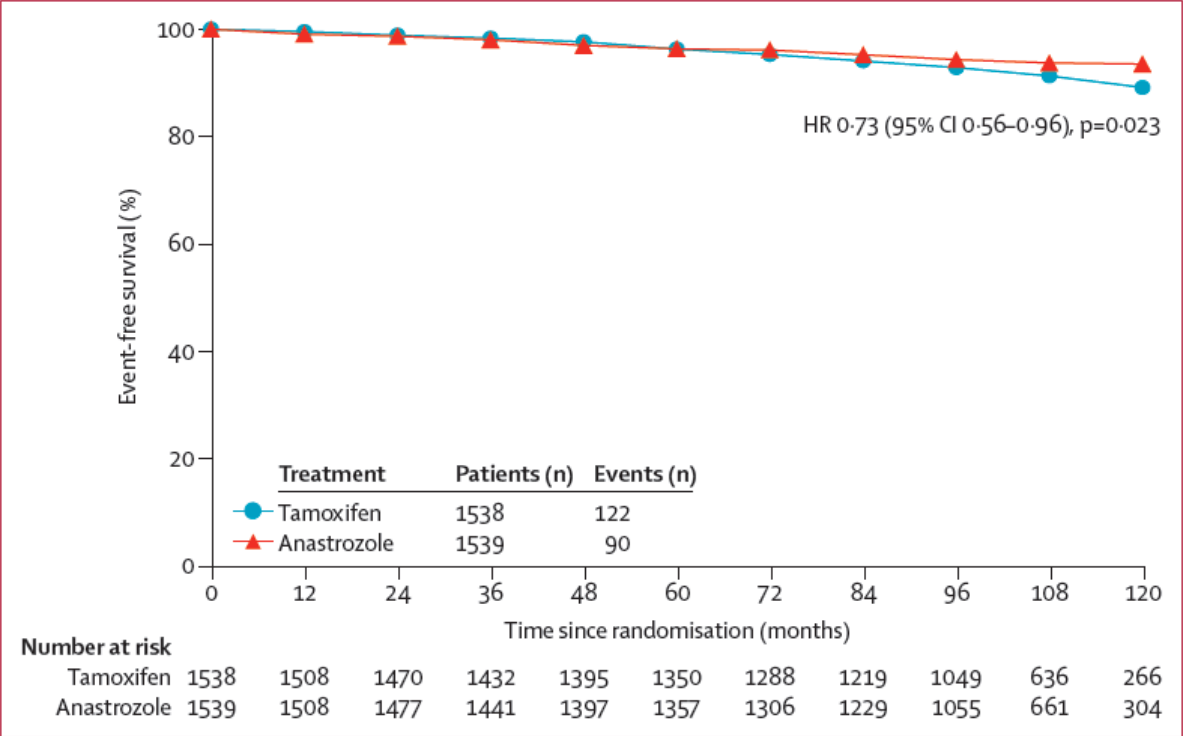


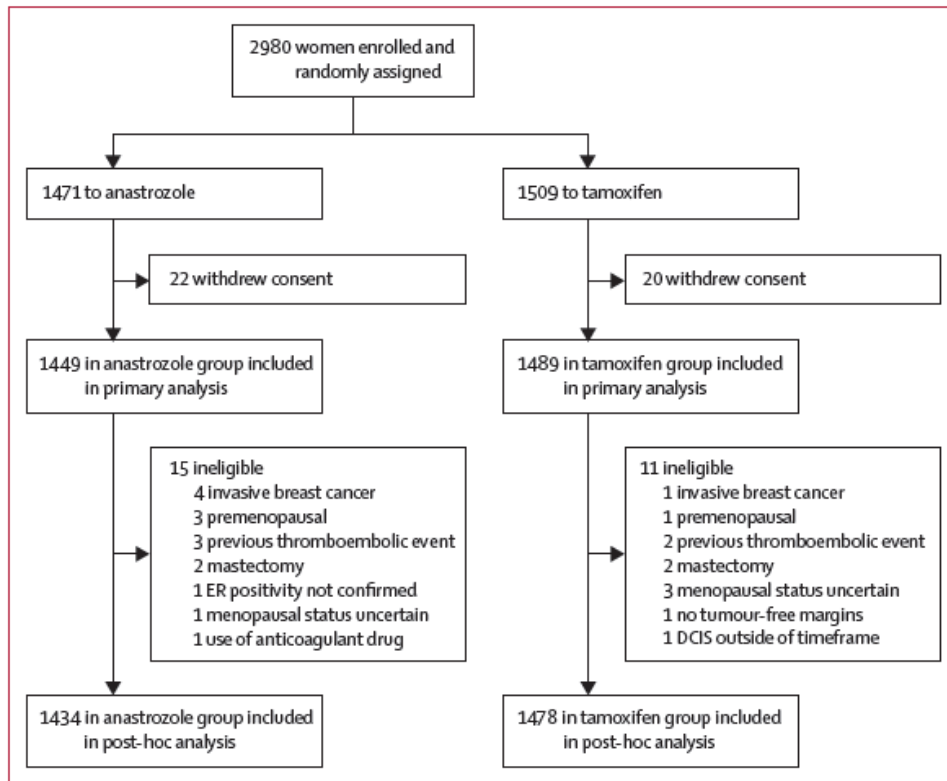
Figure 2: Breast cancer-free interval  
HR=hazard ratio

	Patients (n)	Tamoxifen (n=1538)	Anastrozole (n=1539)	Hazard ratio (95% CI)	p value
<b>Breast cancer-free interval events</b>					
<60 years	1447	63	34	0.53 (0.35-0.80)	0.0026
≥60 years	1630	59	56	0.95 (0.66-1.37)	0.78
<b>Disease-free survival events</b>					
<60 years	1447	104	74	0.69 (0.51-0.93)	0.0151
≥60 years	1630	156	161	1.03 (0.83-1.28)	0.79

Table 3: Breast cancer-free interval and disease-free survival events by age group

The benefit of anastrozole was especially seen as a reduction of contralateral invasive BC

# IBIS II trial (2003-2012)



**Figure 1: Trial profile**  
ER=oestrogen receptor. DCIS=ductal carcinoma in situ.

- postmenopausal women
- ER pos DCIS
- breast conservation
- 71% had RT (local practise)
- standard doses of tamoxifen and anastrozole
- comparable adverse events
- N=2980
- median follow-up: 7.2 years

# IBIS II trial

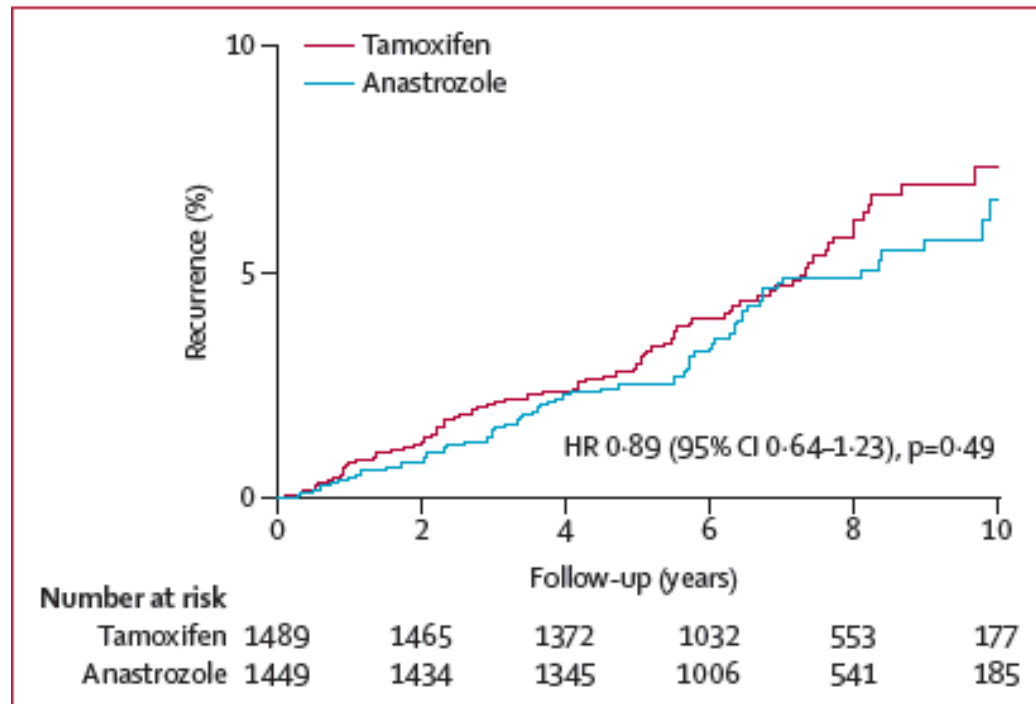


Figure 2: Recurrence for all breast cancer according to treatment allocation

	Anastrozole (n=1449)	Tamoxifen (n=1489)	Unadjusted analysis		Adjusted analysis*	
			HR (95% CI)	p value	HR (95% CI)	p value
All	67 (5%)	77 (5%)	0.89 (0.64-1.23)	0.49	0.83 (0.59-1.18)	0.31
Invasive†	37 (3%)	47 (3%)	0.80 (0.52-1.24)	0.32	0.72 (0.46-1.14)	0.16
Ipsilateral	20 (1%)	22 (1%)	0.93 (0.51-1.71)	0.82	0.77 (0.40-1.48)	0.44
Contralateral	17 (1%)	25 (2%)	0.69 (0.37-1.28)	0.24	0.68 (0.36-1.29)	0.24
DCIS	29 (2%)	30‡ (2%)	0.99 (0.60-1.65)	0.98	0.98 (0.57-1.69)	0.95
Ipsilateral	21 (1%)	23 (2%)	0.94 (0.52-1.69)	0.83	1.03 (0.55-1.91)	0.93
Contralateral	8 (<1%)	6 (<1%)	1.37 (0.47-3.94)	0.56	1.02 (0.33-3.18)	0.97

DCIS=ductal carcinoma in situ. HR=hazard ratio. \* Adjusted for age, body-mass index, menopausal hormone therapy, grade, margins, and radiotherapy. †1 missing for invasiveness. ‡1 missing data for laterality.

**Table 2: All breast cancer, invasive, and DCIS recurrences according to treatment allocation**

2 years shorter follow-up than NSABP B-35!

# Only a small risk of recurrence after DCIS with modern treatment

- 98% of patient with DCIS alive after 5 years
- They all undergo routine mammography
- In case of recurrence, surgery can often cure the patient
- Many side-effects with endocrine treatment



- Therefore low dose tamoxifen (3 years) was tested in TAM-01:

# Randomized placebo controlled trial of low dose tamoxifen-Tam01

Women  
aged <75 yrs  
With ADH or LCIS  
or ER+ve/unk  
DCIS)



Tamoxifen  
5 mg/day

Placebo

3 yr  
treatment  
+  
at least  
2 yr FU

(RT to patients with high grade DCIS or necrotic DCIS, 50 Gy/25 fx)

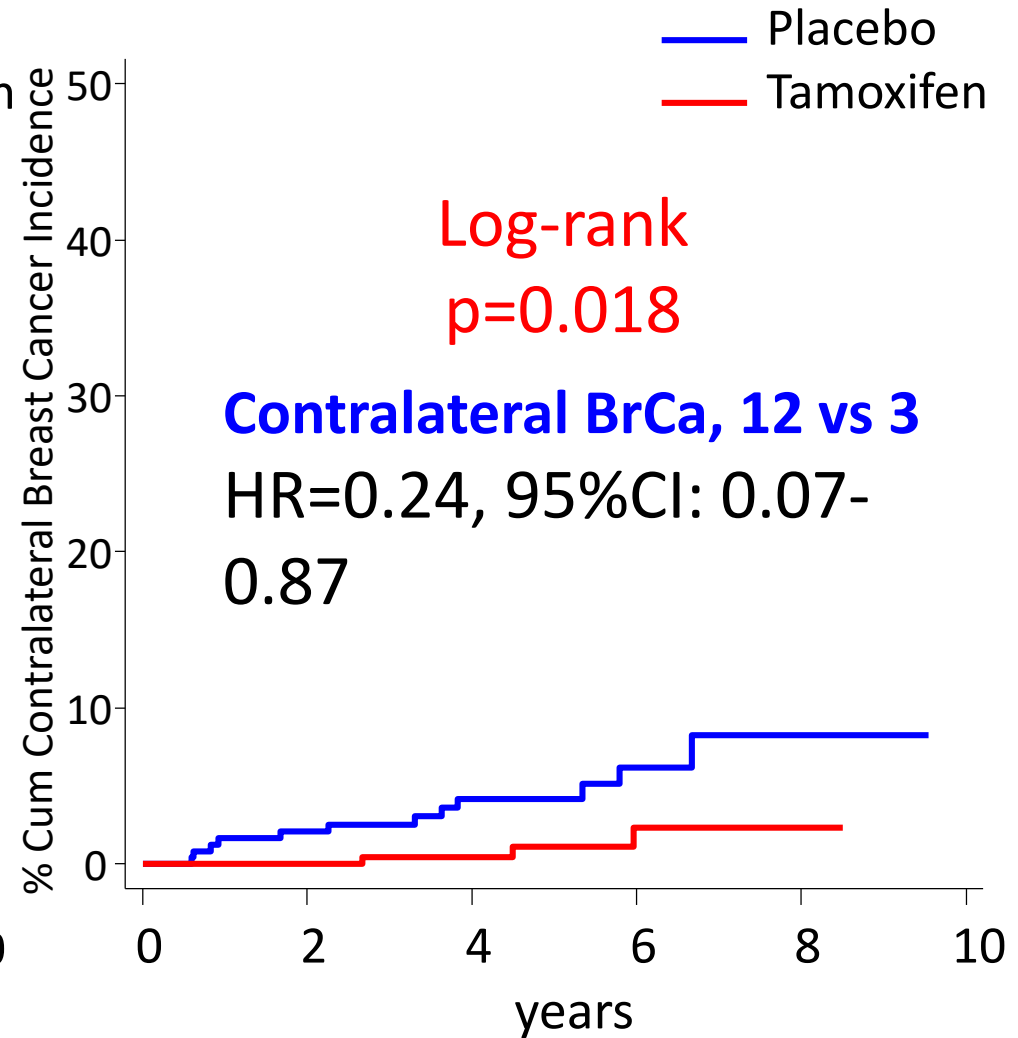
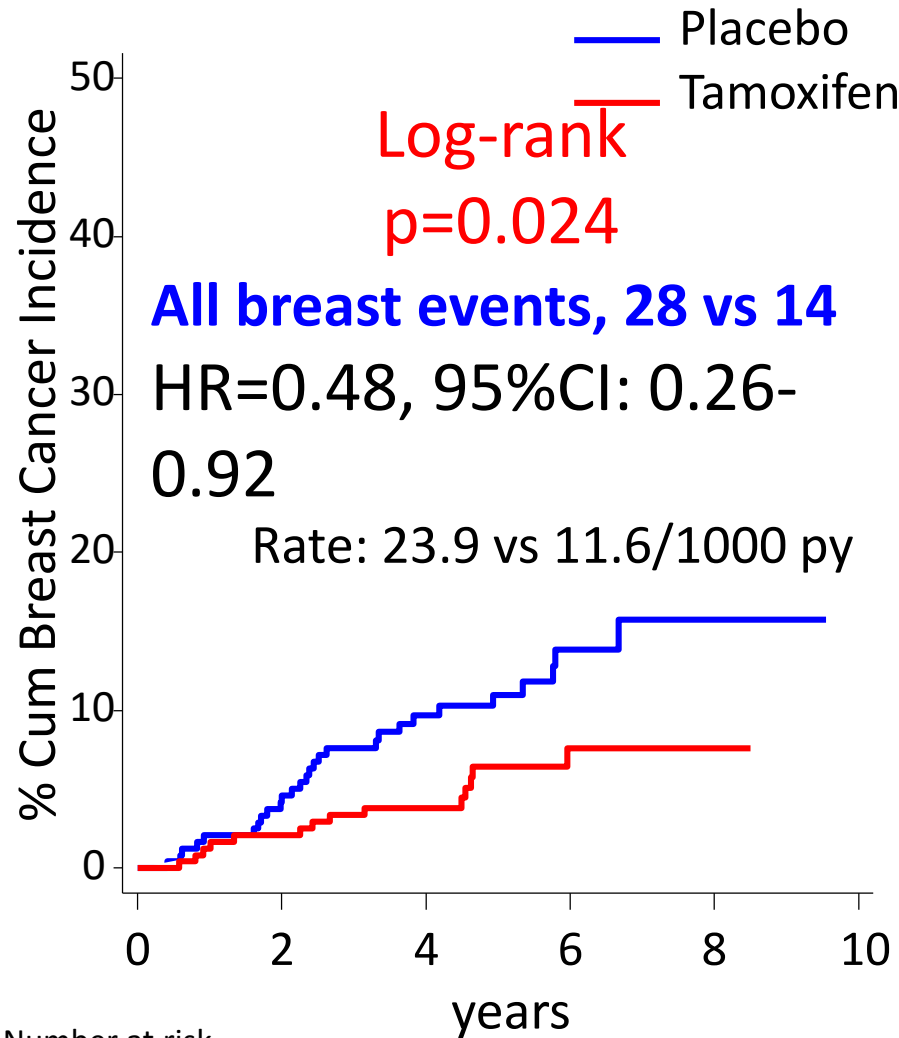
**Primary endpoint: Incidence of invasive breast cancer or DCIS**

- 500 participants enrolled from 14 centers in Italy
  - Median follow up = 5.1 years (IQR 3.9-6.3)
    - Primary events: 42



## Main subject and tumor characteristics (n = 500)

	Tamoxifen N=253	Placebo N=247
Age, mean (SD)	54 (9.6)	54 (9.1)
Pre-menopausal, %	46	44
BMI, mean (SD)	25.7 (4.8)	25.3 (4.2)
ADH, %	20	20
LCIS, %	11	10
DCIS, %	69	70
ER/PR+ve/unknown, %	66 / 34	67 / 33
HER 2-neu 3+, %	8	9
Quadrantectomy/Mastectomy %	84 / 16	82 / 18
Radiotherapy for DCIS, %	<b>61</b>	<b>61</b>



Number at risk

Pla	247	225	161	78	4	0
Tam	253	234	172	76	3	0

Pla	247	225	161	78	4	0
Tam	253	234	172	76	3	0

# Serious adverse events

	Tamoxifen	Placebo
Endometrial cancer	1	0
DVT or PE	1	1
Other neoplasms	4	6
Coronary heart disease	2	2
Other	3	5
Death	1	2
<b>Total</b>	<b>12</b>	<b>16</b>

20 mg/d, expected Endometrial Cancer: **2.7**; DVT+PE: **2.4**

<sup>1</sup>NSABP-P1 trial (Fisher et al. *JNCI* 90:1371-88, 1998)

# Non serious Adverse Events

**TABLE A2.** Nonserious Adverse Events

<b>Adverse Event</b>	<b>Tamoxifen (n = 249), No. (%)</b>	<b>Placebo (n = 246), No. (%)</b>	<b>P*</b>
Hot flashes	34 (13.7)	18 (7.3)	.03
Arthralgia	14 (5.6)	21 (8.5)	.22
Vaginal dryness	5 (2.0)	8 (3.3)	.42
Vaginal bleeding	10 (4.0)	3 (1.2)	.09
Headache	1 (0.4)	11 (4.5)	.003
Vaginal discharge	6 (2.4)	5 (2.0)	1.00
Endometrial polyps	7 (2.8)	4 (1.6)	.54
Muscle cramping/myalgia	6 (2.4)	4 (1.6)	.75

NOTE. The safety analysis included all patients who received at least one dose of drug or placebo (495 patients). Events that occurred in at least 2% of patients are reported. Patients may have had more than one event.

\*Fisher's exact test.

# Summary (1)

- NSABP B-24: (70 % of recurrences were ipsilateral)
  - BCS and RT: 5 year risk of:
    - 1) ipsilateral non-invasive recurrence (5.1%)
    - 2) ipsilateral invasive recurrence (4.2%)
    - 3) contralateral invasive tumor (2.3%)
    - 4) contralateral non-invasive tumor (1.1%)
  - BCS and RT and TAM: 5 year risk of:
    - 1) ipsilateral non-invasive recurrence (3,9%)
    - 2) ipsilateral invasive recurrence (2.1%)
    - 3) contralateral invasive tumor (1.8%)
    - 4) contralateral non-invasive tumor (0.2%)
- Benefit TAM if  
pos margins and  
ER pos DCIS
- Benefit TAM  
(preventing)

## Summary (2)

- UK/ANZ DCIS trial: benefit of tamoxifen: less non-invasive ipsilateral and less invasive contralateral BC events in no RT patients
- NSABP B-35: Anastrozole superior to tamoxifen in young postmenopausal women
- IBIS II trial: no difference between anastrozole and tamoxifen
- TAM-01 trial: Benefit of low dose tamoxifen in preventing all ipsilateral and contralateral BC events (only few event, no margin status, no routine RT)

# Conclusion DCIS and systemic ET

- **What is the primary aim of the adjuvant treatment?**
- Ipsilateral BC events can be prevented by radical surgery and adjuvant RT. Tamoxifen should only be considered in:
  - ER pos DCIS and no RT and positive margins
  - young age and risk factors?
- Contralateral BC events can be prevented by tamoxifen and could be considered in
  - young age and risk factors?
- normal dose TAM and AI (5 years) and low dose TAM (3 years) can be used

thank you..